



**CERTIFICATE OF MAILING**

I hereby certify that this paper is being deposited with the United States Postal Service with sufficient postage as first class mail in an envelope addressed to: Box Fec Amendment; Commissioner for Patents, Washington, DC 20231 on March 13, 2003.

*Sylvia W. Moore*

**PATENT**

**IN THE UNITED STATES PATENT & TRADEMARK OFFICE**

Applicant: Hirotaka Uchiyama et al : Paper No.:  
Serial No.: 09/855,337 : Group Art Unit: 1615  
Filed: May 15, 2001 : Examiner: L.Channavajjala  
For: **COMPOSTIONS COMPRISING CYCLODEXTRINS**

**TERMINAL DISCLAIMER**

Box Fec Amendment  
Commissioner for Patents  
Washington, D.C. 20231

Dear Sir:

Petitioner, The Procter & Gamble Company, is the owner of the entire right, title and interest in the above-identified application U.S. Serial No. 09/855,337, as evidenced by the Global General Assignment, a copy of which is enclosed herewith.

Petitioner is also the owner of the entire right, title and interest in copending application U.S. Serial No. 09/855,440, as evidenced by the Global General Assignment, a copy of which is enclosed herewith.

Petitioner is also the owner of the entire right, title and interest in U.S. Patent No. 6,436,442 by virtue of the assignment recorded on June 19, 2002, at Reel: 012816, Frame: 0716.

Petitioner hereby disclaims, except as provided below, the terminal part of the statutory term of any patent granted on the above-identified application Serial No. 09/855,337 which would extend beyond the earliest of the expiration dates of the full statutory term defined in 35 U.S.C. §154 to §156 and §173, as shortened by any terminal disclaimer filed prior to the grant, of any patent granted on Petitioner's pending second Application Serial No.

09/855,440, and the full statutory term defined in 35 U.S.C. §154 to § 156 and §173, as shortened by any terminal disclaimer filed prior to the grant of U.S. Patent No. 6,436,442.

Petitioner hereby agrees that any patent so granted on the above-identified application Serial No. 09/855,337 shall be enforceable only for and during such period that it and any patent granted on the second application Serial No. 09/855,440 are commonly owned, or that it and the patent are commonly owned. This agreement runs with any patent granted on the above-identified application and is binding upon the grantee, its successors or assigns.

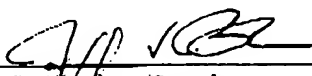
In making the above disclaimer, petitioner does not disclaim the terminal part of any patent granted on the above-identified application Serial No. 09/855,337 that would extend to the expiration date of the full statutory term as defined in 35 U.S.C. §154 to §156 and §173 of any patent granted on the second application Serial No. 09/855,440, as shortened by any terminal disclaimer filed prior to the patent grant, in the event that any such granted patent: expires for failure to pay a maintenance fee, is held unenforceable, is found invalid by a court of competent jurisdiction, is statutorily disclaimed in whole or terminally disclaimed under 37 CFR §1.321, has all claims canceled by a reexamination certificate, is reissued, or is in any manner terminated prior to the expiration of its full statutory term as shortened by any terminal disclaimer filed prior to its grant.

Also, in making the above disclaimer, petitioner does not disclaim the terminal part of any patent granted on the above-identified application Serial No. 09/855,337 that would extend to the expiration date of the full statutory term as defined in 35 U.S.C. §154 to §156 and §173 of the patent, as shortened by any terminal disclaimer filed prior to the patent grant, in the event that any such granted patent: expires for failure to pay a maintenance fee, is held unenforceable, is found invalid by a court of competent jurisdiction, is statutorily disclaimed in whole or terminally disclaimed under 37 CFR §1.321, has all claims canceled by a reexamination certificate, is reissued, or is in any manner terminated prior to the expiration of its full statutory term as shortened by any terminal disclaimer filed prior to its grant.

~~The evidentiary documents for the assignments have been reviewed by the~~  
undersigned, and the undersigned certifies that to the best of his/her knowledge and belief, title is in the assignee to take this action. The undersigned is an attorney or agent of record and is empowered to act on behalf of the assignee.

The undersigned hereby declares that all statements made herein of his/her own knowledge are true and that all statements made on information and belief are believed to be true; and further that these statements were made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment, or both, under Section 1001 of Title 18 of the United States Code and that such willful false statements may jeopardize the validity of the application or any patent issued thereon.

Respectfully submitted,

By:   
Jeffrey V. Bamber  
Registration No. 31,148  
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(513) 627-4597

Date: 3/12/2003

870717

**SUBJECT: DECISION ON TERMINAL DISCLAIMERS INFORMAL FORM**

DATE: 4-1-03

APPL. S.N.: 091 855, 337

TO EXAMINER: L. Channavaigala

ART UNIT: 1615

**MOSE MONTGOMERY ROOM HE18**

MAILROOM DATE 3-19-03

AFTER FINAL YES ☐ NO ☒ NUMBER OF T.D(S). FILED 1

**INSTRUCTIONS:** I have reviewed the submitted T.D. with the results as set forth below. If you agree, please use the appropriate form paragraphs identified by this informal memo in your next office action to notify applicant about the T.D. If you disagree with my analysis or have questions at all about the acceptability of the T.D., please see me or our Special Program Examiner. THIS MEMO IS AN INFORMAL, INTERNAL MEMO ONLY. IT MUST NOT BE MAILED TO APPLICANT, NOR SHOULD A COPY BE LEFT IN FILE.

☐ The T.D. is PROPER and has been recorded. (See 14.23).

☒ The T.D. is NOT PROPER and has not been accepted for the reason(s) checked below. (See 14.24).

☐ The recording fee of \$ \_\_\_\_\_ has not been submitted nor is there any pre authorization in the application file to charge to a deposit account. (See 14.26.07)

☐ Application Examiner has not processed T.D. fee. (See fee authorization).

☐ The T.D. does not satisfy Rule 321(b)(3) in that the person who has signed the T.D. has not stated his/her interest (and/or the extent of the interest of the business entity represented by the signature) in the application/patent. (See 14.26 and 14.26.01).

☐ The T.D. lacks the enforceable only during the common ownership clause needed to overcome a double patenting rejection, Rule 321(c). (See 14.27, 14.27.01).

☐ It is directed to a particular claims(s), which is not acceptable since "the disclaimer must be of a terminal portion of the term of the entire patent to be granted". MPEP 1490. (See 14.26, 14.26.02).

☐ The person who signed the terminal disclaimer:

☐ has failed to state his/her capacity to sign for the business entity, (See 14.28).

☐ is not recognized as an officer of the assignee, (See 14.29 and possibly 14.29.01).

☐ No documentary evidence of a chain of title from the original inventor(s) to assignee has been submitted, nor is the reel and frame specified as to where such evidence is recorded in the office. 37 CFR 3.73(b). (See 1140 O.G. 72). **NOTE:** This documentary evidence or the specifying of the reel and frame may be found in the T.D. or in a separate paper submitted by applicant. (See 14.30).

☐ No "statement" specifying that the evidentiary documents have been reviewed and that, to the best of the assignee's knowledge and belief the title is in the assignee seeking to take action. 37 CFR 3.73(b). (See 1140 O.G. 72) (See 14.31).

☐ The T.D. is not signed. (See 14.26, 14.26.3). or 14.26.03 if TD is not signed by all the owners.

☐ Attorney not of record in oath/decl. or a separate paper filed appointing a new or associate attorney. (See 14.29.01).

☒ The serial number of the application (or the number of the patent) which forms the basis for the double patenting is missing or incorrect. (See 14.32).

☐ The serial number of this application (or the number of the patent in reexam or reissue case(s) being disclaimed is missing or incorrect. (See 14.26, 14.26.04 or 14.26.05).

☐ The period disclaimed is incorrect or not specified. (See 14.27, 14.27.2 or 14.27.3)(For Samples 14.27.04 and 14.27.05)

☐ Other: \_\_\_\_\_

☐ Suggestion to request refund of \$ \_\_\_\_\_. (See 14.35, 14.36).

**EXAMINER NOTE: IF APPLICATION IS IN CONDITION FOR ALLOWANCE ANY OF THE ABOVE INFORMALTIES MAY BE FAXED IN TO THE GROUP**

**FOR SAMPLE TERMINAL DISCLAIMERS AND CERTIFICATES:**

☐ Sample of a TD over a pending application and assignee Certificate (See 14.37).

☐ Sample of a TD over a prior patent and assignee Certificate (See 14.38).

☐ Sample Assignee Certificate under 37 CFR 3.73 (b) (See 14.39)